

General Assembly

## **Amendment**

February Session, 2018

LCO No. 3689



Offered by:

SEN. FASANO, 34th Dist.

To: Subst. Senate Bill No. 188

File No. 92

Cal. No. 76

## "AN ACT ESTABLISHING THE STATE OVERSIGHT COUNCIL ON CHILDREN AND FAMILIES."

- 1 Strike everything after the enacting clause and substitute the
- 2 following in lieu thereof:
- 3 "Section 1. Section 17a-4 of the general statutes is repealed and the
- 4 following is substituted in lieu thereof (*Effective July 1, 2018*):
- 5 (a) There shall be a State [Advisory] Oversight Council on Children
- 6 and Families which shall consist of [nineteen members as follows: (1)
- 7 Thirteen members appointed by the Governor, including two persons
- 8 who are child care professionals, two persons eighteen to twenty-five
- 9 years of age, inclusive, served by the Department of Children and
- 10 Families, one child psychiatrist licensed to practice medicine in this
- state and one attorney who has expertise in legal issues related to
- 12 children and youth and seven persons who shall be representative of
- young persons, parents and others interested in the delivery of services
- 14 to children and youths, including child protection, behavioral health,
- 15 juvenile justice and prevention services, at least four of whom shall be

parents, foster parents or family members of children who have 16 17 received, or are receiving, behavioral health services, child welfare 18 services or juvenile services; and (2) six members representing the 19 regional advisory councils established pursuant to section 17a-30, 20 appointed one each by the members of each council. On and after 21 October 1, 2014, nol the following members: (1) Two members 22 appointed by the speaker of the House of Representatives, one of whom shall have expertise in providing services through the family 23 24 assessment response program operated by the Department of Children 25 and Families and one of whom shall be an advocate for children and 26 youths; (2) two members appointed by the president pro tempore of 27 the Senate, one of whom shall have expertise in providing behavioral 28 health services to children and youths served by the Department of 29 Children and Families and one of whom shall be an attorney with 30 expertise in legal issues related to children and youths; (3) two 31 members appointed by the majority leader of the House of 32 Representatives, one of whom shall be between the ages of eighteen 33 and twenty-five and a former recipient of in-home services or 34 supervision provided by the Department of Children and Families and 35 one of whom shall be an advocate for behavioral health services who 36 serves on the Behavioral Health Partnership Oversight Council 37 established pursuant to section 17a-22j; (4) two members appointed by the majority leader of the Senate, one of whom shall be a child 38 39 psychiatrist licensed to practice medicine in this state and one of whom shall be an advocate for behavioral health services who serves on the 40 Behavioral Health Partnership Oversight Council established pursuant 41 to section 17a-22j; (5) two members appointed by the minority leader 42 43 of the House of Representatives, one of whom shall be between the 44 ages of eighteen and twenty-five and a former foster child and one of 45 whom shall be a provider of therapeutic foster care; (6) two members 46 appointed by the minority leader of the Senate, one of whom shall be 47 an attorney with expertise in legal issues related to children and 48 youths and one of whom shall be a practicing member of the 49 Connecticut Chapter of the American Academy of Pediatrics; (7) one 50 member appointed by the chairpersons of the Juvenile Justice Policy

51

52

53

54

55

56

57

58

59

60

61

62

63 64

65

66

67

68

69

70

71

72

73

74

75

76

77

78

79

80

81

82

83 84

and Oversight Committee established pursuant to section 46b-121n, who shall be a member of such committee and an advocate for or provider of services to children and youths; (8) the chairpersons and ranking members of the joint standing committee of the General Assembly having cognizance of matters relating to children, or their designees; (9) the Child Advocate, or the Child Advocate's designee; (10) the Chief Public Defender, or the Chief Public Defender's designee; and (11) six members appointed one each by the members of the regional advisory councils established pursuant to section 17a-30, who shall be parents, foster parents or family members of children or youths who have received or currently receive behavioral health services, child welfare services or juvenile services. No more than half the members of the council shall be persons who receive income from a private practice or any public or private agency that delivers mental health, substance abuse, child abuse prevention and treatment, child welfare services or juvenile services. Members of the council shall serve without compensation. [, except for necessary expenses incurred in the performance of their duties. The Department of Children and Families shall provide the council with funding to facilitate the participation of those members representing families and youth, as well as for other administrative support services.] Members shall serve on the council for terms of two years each and no member shall serve for more than three consecutive terms. The commissioner shall be an ex-officio member of the council without vote and shall attend its meetings. Any member who fails to attend three consecutive meetings or fifty per cent of all meetings during any calendar year shall be deemed to have resigned. Any vacancy shall be filled by the appointing authority. The council shall elect [a chairperson and vicechairperson to act in the chairperson's absence two chairpersons, one of whom shall not be a representative of the executive or legislative branch, from among its members.

(b) The [council] <u>State Oversight Council on Children and Families</u> shall meet <u>at least</u> quarterly, and more often upon the call of the [chair] <u>chairpersons</u> or a majority of the members. The council's meetings

LCO No. 3689 2018LCO03689-R00-AMD.DOC **3** of 13

85

86

87

88 89

90

91

92

93

94

95

96 97

98

99

100

101

102

103

104

105

106

107

108109

110111

112

113

114

115

116

117118

shall be held at locations that facilitate participation by members of the public, and its agenda and minutes shall be posted on the [department's web site] <u>Internet web sites of the Department of Children and Families and the General Assembly</u>. A majority of the members [in office] <u>present</u>, but not less than [six] <u>twelve</u> members, shall constitute a quorum. The council shall have complete access to all records of the institutions and facilities of the department in furtherance of its duties, while at all times protecting the right of privacy of all individuals involved, as provided in section 17a-28.

(c) The duties of the [council] State Oversight Council on Children and Families shall be to: (1) [Recommend to the commissioner programs, legislation or other matters which will improve services for children and youths, including behavioral health services; (2)] Monitor, track and evaluate the policies and practices of the Department of Children and Families with respect to safety, permanency and wellbeing outcomes for children and youths, including, but not limited to, policies and practices related to ensuring that (A) children and youths are, first and foremost, protected from abuse and neglect; (B) children and youths are safely placed in their homes whenever possible and appropriate; (C) children and youths have permanency and stability in their living situations; (D) the continuity of children and youths' family relationships and connections is preserved; (E) families have enhanced capacity to provide for the needs of children and youths; (F) children and youths receive appropriate services to meet their educational needs; and (G) children and youths receive adequate services to meet their physical and mental health needs; (2) submit policy and legislative recommendations to the Commissioner of Children and Families and the joint standing committee of the General Assembly having cognizance of matters relating to children that will improve safety, permanency and well-being outcomes for children and youths, including, but not limited to, recommendations concerning behavioral health services; (3) annually review and advise the commissioner and the joint standing committees of the General Assembly having cognizance of matters relating to appropriations and children

LCO No. 3689 2018LCO03689-R00-AMD.DOC 4 of 13

regarding the proposed budget; [(3) interpret to the community at 119 120 large the policies, duties and programs of the department; (4) issue any 121 reports it deems necessary to the Governor and the Commissioner of 122 Children and Families; (5) assist in the development of and review and 123 comment on the strategic plan developed by the department pursuant 124 to subsection (b) of section 17a-3; (6)] (4) receive on a quarterly basis 125 from the commissioner a status report on the department's progress in 126 [carrying out the strategic plan] promoting safety, permanency and 127 well-being outcomes, including, but not limited to, (A) data regarding 128 such outcomes categorized by race, ethnicity, age cohorts, 129 departmental region and, where practicable, disability status, and (B) 130 other relevant information and data requested by the council; (5) 131 receive on an annual basis, beginning not later than January 1, 2019, 132 from the joint standing committee of the General Assembly having 133 cognizance of matters relating to children, information contained in the 134 report card maintained pursuant to section 2-53m that is relevant to the duties of the council; [(7)] (6) independently monitor the 135 136 department's progress in achieving its goals as expressed in the 137 strategic plan developed by the department pursuant to subsection (b) 138 of section 17a-3, as amended by this act, and any outcome measures 139 established by the council; and [(8)] (7) offer assistance and provide an 140 outside perspective to the department so that it may be able to achieve 141 the goals expressed in the strategic plan and implement the 142 recommendations of the council.

(d) Not later than October 1, 2019, and annually thereafter, the State Oversight Council on Children and Families shall submit a report, in accordance with the provisions of section 11-4a, to the joint standing committees of the General Assembly having cognizance of matters relating to appropriations and children. Such report shall include, but need not be limited to, (1) findings and recommendations regarding the policies and practices of the Department of Children and Families; (2) information regarding the impact of such policies on safety, permanency and well-being outcomes for children and youths, including those outcomes described in subdivision (1) of subsection (c)

143

144

145

146

147

148149

150

151152

LCO No. 3689 2018LCO03689-R00-AMD.DOC 5 of 13

- of this section; and (3) if applicable, legislative recommendations.
- (e) Not later than thirty days after receipt of the report submitted
- 155 pursuant to subsection (d) of this section, the joint standing
- 156 committees of the General Assembly having cognizance of matters
- relating to appropriations and children shall, in conjunction with the
- 158 chairperson and vice-chairperson of the State Oversight Council on
- 159 Children and Families, hold an informational forum on such report.
- (f) The State Oversight Council on Children and Families may,
- 161 without remuneration from the state, be staffed by an organization or
- 162 educational institution with the necessary expertise and resources to
- assist in the administration of the work of the council.
- Sec. 2. Section 17a-1 of the general statutes is repealed and the
- following is substituted in lieu thereof (*Effective July 1, 2018*):
- As used in sections 17a-1 to 17a-26, inclusive, as amended by this
- act, 17a-28 to 17a-49, inclusive, 17a-127 and 46b-120, unless otherwise
- 168 provided in said sections:
- 169 (1) "Commissioner" means the Commissioner of Children and
- 170 Families;
- 171 (2) "Council" means the State [Advisory] Oversight Council on
- 172 Children and Families;
- 173 (3) "Advisory committee" means the Children's Behavioral Health
- 174 Advisory Committee;
- 175 (4) "Department" means the Department of Children and Families;
- 176 (5) "Child" means a child, as defined in section 46b-120;
- 177 (6) "Youth" means a youth, as defined in section 46b-120;
- 178 (7) "Delinquent child" means a child convicted of a delinquent act,
- 179 as defined in section 46b-120;

180 (8) "Child or youth with behavioral health needs" means a child or 181 youth who is suffering from one or more mental disorders as defined 182 in the most recent edition of the American Psychiatric Association's 183 "Diagnostic and Statistical Manual of Mental Disorders";

184

185

186

187

188

189 190

191

192

193

194

195

196

197

198

199

200

201

202

203

204

205

206

207

208

209

210

211

- (9) "Individual service plan" means a written plan to access specialized, coordinated and integrated care for a child or youth with complex behavioral health service needs that is designed to meet the needs of the child or youth and his or her family and may include, when appropriate (A) an assessment of the individual needs of the child or youth, (B) an identification of service needs, (C) an identification of services that are currently being provided, (D) an identification of opportunities for full participation by parents or emancipated minors, (E) a reintegration plan when an out-of-home placement is made or recommended, (F) an identification of criteria for evaluating the effectiveness and appropriateness of such plan, and (G) coordination of the individual service plan with any educational services provided to the child or youth. The plan shall be subject to review at least every six months or upon reasonable request by the parent based on a changed circumstance, and be approved, in writing, by the parents, guardian of a child or youth and emancipated minors;
- (10) "Family" means a child or youth with behavioral health needs and (A) one or more biological or adoptive parents, except for a parent whose parental rights have been terminated, (B) one or more persons to whom legal custody or guardianship has been given, or (C) one or more adults who have a primary responsibility for providing continuous care to such child or youth;
- (11) "Parent" means a biological or adoptive parent, except a parent whose parental rights have been terminated;
- (12) "Guardian" means a person who has a judicially created relationship between a child or youth and such person that is intended to be permanent and self-sustaining as evidenced by the transfer to such person of the following parental rights with respect to the child or

LCO No. 3689 2018LCO03689-R00-AMD.DOC **7** of 13

youth: (A) The obligation of care and control; (B) the authority to make major decisions affecting the child's or youth's welfare, including, but not limited to, consent determinations regarding marriage, enlistment in the armed forces and major medical, psychiatric or surgical treatment; (C) the obligation of protection of the child or youth; (D) the obligation to provide access to education; and (E) custody of the child or youth;

219220

221

222

223

224

225

226

227

231

232

233

234

235

236

237

238

239

240

241

- (13) "Serious emotional disturbance" and "seriously emotionally disturbed" means, with regard to a child or youth, that the child or youth (A) has a range of diagnosable mental, behavioral or emotional disorders of sufficient duration to meet diagnostic criteria specified in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders", and (B) exhibits behaviors that substantially interfere with or limit the child's or youth's ability to function in the family, school or community and are not a temporary response to a stressful situation;
- 228 (14) "Child or youth with complex behavioral health service needs" 229 means a child or youth with behavioral health needs who needs 230 specialized, coordinated behavioral health services;
  - (15) "Transition services" means services in the areas of education, employment, housing and community living designed to assist a youth with a serious emotional disturbance who is transitioning into adulthood; and
  - (16) "Community collaborative" means a local consortium of public and private health care providers, parents and guardians of children with behavioral health needs and service and education agencies that have organized to develop coordinated comprehensive community resources for children or youths with complex behavioral health service needs and their families in accordance with principles and goals of Connecticut Community KidCare.
- Sec. 3. Subsection (b) of section 17a-3 of the 2018 supplement to the general statutes is repealed and the following is substituted in lieu

244 thereof (*Effective July 1, 2018*):

245

246

247

248

249

250

251

252

253254

255

256

257

258

259

260

261

262

263

264

265

266

267

268

269

270

271

272

273

274

(b) (1) The department, with the assistance of the State [Advisory] Oversight Council on Children and Families, and in consultation with representatives of the children and families served by the department, providers of services to children and families, advocates, and others interested in the well-being of children and families in this state, shall develop and regularly update a single, comprehensive strategic plan for meeting the needs of children and families served by the department. In developing and updating the strategic plan, the department shall identify and define agency goals and indicators of progress, including benchmarks, in achieving such goals. The strategic plan shall include, but not be limited to: (A) The department's mission statement; (B) the expected results for the department and each of its mandated areas of responsibility; (C) a schedule of action steps and a time frame for achieving such results and fulfilling the department's mission that includes strategies for working with other state agencies to leverage resources and coordinate service delivery; (D) priorities for services and estimates of the funding and other resources necessary to carry them out; (E) standards for programs and services that are based on research-based best practices, when available; and (F) relevant measures of performance.

- (2) The department shall begin the strategic planning process on July 1, 2009. The department shall hold regional meetings on the plan to ensure public input and shall post the plan and the plan's updates and progress reports on the department's web site. The department shall submit the strategic plan to the State [Advisory] Oversight Council on Children and Families for review and comment prior to its final submission to the General Assembly and the Governor. On or before July 1, 2010, the department shall submit the strategic plan, in accordance with section 11-4a, to the General Assembly and the Governor.
- 275 (3) The commissioner shall track and report on progress in 276 achieving the strategic plan's goals not later than October 1, 2010, and

LCO No. 3689 2018LCO03689-R00-AMD.DOC **9** of 13

quarterly thereafter, to [said State Advisory] the State Oversight
Council on Children and Families. The commissioner shall submit a
status report on progress in achieving the results in the strategic plan,
in accordance with section 11-4a, not later than July 1, 2011, and
annually thereafter to the General Assembly, the joint standing
committee of the General Assembly having cognizance of matters
relating to children and the Governor.

284

285

286

292

293

294

295

296

297

298

299

300

301

302

303

304

305

306

307

308

- Sec. 4. Subsections (e) and (f) of section 17a-4a of the 2018 supplement to the general statutes are repealed and the following is substituted in lieu thereof (*Effective July 1, 2018*):
- (e) Not later than October first of each year, the advisory committee shall submit a status report on local systems of care and practice standards for state-funded behavioral health programs to the Commissioner of Children and Families and the State [Advisory] Oversight Council on Children and Families.
  - (f) Not later than October first of each odd-numbered year, the advisory committee shall submit recommendations concerning the provision of behavioral health services for all children in the state to the Commissioner of Children and Families and the State [Advisory] Oversight Council on Children and Families. The recommendations shall address, but shall not be limited to, the following: (1) The target population for children with behavioral health needs, and assessment and benefit options for children with such needs; (2) the appropriateness and quality of care for children with behavioral health needs; (3) the coordination of behavioral health services provided under the HUSKY Health program with services provided by other publicly-funded programs; (4) performance standards for preventive services, family supports and emergency service training programs; (5) assessments of community-based and residential care programs; (6) outcome measurements by reviewing provider practice; and (7) a medication protocol and standards for the monitoring of medication and after-care programs.

LCO No. 3689 2018LCO03689-R00-AMD.DOC **10** of 13

Sec. 5. Subsection (m) of section 17a-6 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July* 1, 2018):

- (m) Submit to the [state advisory council] <u>State Oversight Council</u> on <u>Children and Families</u> for its comment proposals for new policies or programs and the proposed budget for the department;
- Sec. 6. Section 17a-9 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2018*):

317

318

319

320

321

322

323

324

325

326

327

328

329

330

331

332

333

334

335

336

337

338

339

340

- (a) The commissioner shall appoint, after consultation with the [state advisory council] State Oversight Council on Children and and may remove in a like manner, two deputy Families, commissioners who shall be in the unclassified service. The deputy commissioner for program services shall be a clinically competent professional person experienced in one or more fields of children's services and in the administration of such services, and shall be responsible for the supervision of all clinical treatment and program services department. deputy commissioner of the The administrative services shall have experience in business institutional administration and shall be responsible for organizational and general administrative services of the department.
  - (b) The commissioner shall appoint, after consultation with the [state advisory council] <u>State Oversight Council on Children and Families</u>, and may remove in like manner, not more than two program directors who shall be in the unclassified service, provided the title or duties of any director appointed pursuant to this subsection may be changed as the commissioner deems necessary after consultation with the state advisory council. Such directors may oversee community programs and services and the operation of institutions and facilities.
- (c) The commissioner shall, in accordance with chapter 67 and after consultation with the [state advisory council] <u>State Oversight Council on Children and Families</u>, appoint the administrative heads of all of the institutions and facilities transferred to the department and such

LCO No. 3689 2018LCO03689-R00-AMD.DOC **11** of 13

other institutions and facilities as now are or hereafter may be established by or transferred to the department. Such administrative heads shall have skill and experience in the administration of children's services and shall manage their institutions and facilities in accordance with the regulations and orders of the commissioner.

- (d) The commissioner shall, after consultation with the [state advisory council] State Oversight Council on Children and Families, appoint and may remove in a like manner, up to six regional directors who shall be in the unclassified service. Each regional director shall have skill and experience in the field of children's services and in the administration of such services. Each regional director shall be subject to the direction of the commissioner and shall be responsible for the operation and administration of services provided or funded by the department in the regions created by the commissioner pursuant to subsection (a) of section 17a-30.
- Sec. 7. Section 17a-32a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2018*):
  - (a) The facilities that come under the jurisdiction of the Department of Children and Families, as enumerated in section 17a-32, shall submit an annual report to the State [Advisory] Oversight Council on Children and Families and to their respective advisory groups, established pursuant to subsection (b) of section 17a-6. The report shall include, but not be limited to: (1) Aggregate profiles of the residents; (2) a description of and update on major initiatives; (3) key outcome indicators and results; (4) costs associated with operating the facility; and (5) a description of educational, vocational and literacy programs, and behavioral, treatment and other services available to the residents and their outcomes. Each report submitted pursuant to this subsection shall be posted on the department's web site.
  - (b) Such advisory groups shall respond to their facility's annual report, submitted pursuant to subsection (a) of this section, and provide any recommendations for improvement or enhancement that

LCO No. 3689 2018LCO03689-R00-AMD.DOC 12 of 13

- 373 they deem necessary.
- 374 (c) The Department of Children and Families shall serve as 375 administrative staff of such advisory groups."

This act shall take effect as follows and shall amend the following		
sections:		
Section 1	July 1, 2018	17a-4
Sec. 2	July 1, 2018	17a-1
Sec. 3	July 1, 2018	17a-3(b)
Sec. 4	July 1, 2018	17a-4a(e) and (f)
Sec. 5	July 1, 2018	17a-6(m)
Sec. 6	July 1, 2018	17a-9
Sec. 7	July 1, 2018	17a-32a